



YAMINI INVESTMENTS COMPANY LTD.

(CIN : L67120MH1983PLC029133)

Regd. Off.: B-614, CRYSTAL PLAZA, OPP. INFINITY MALL, NEW LINK ROAD, ANDHERI (W), MUMBAI - 400 053
Tel.: 022-40164455 | Email ID : yaminiinvestments@gmail.com | Website : www.yaminiinvestments.co.in

Date: 29th May, 2026

To,
The Listing Compliance Department
The Bombay Stock Exchange Limited,
PJ Towers, Dalal Street
Mumbai- 400 001

Dear Sir,

Sub: Annual Secretarial Compliance Report under Regulation 24A for the financial year ended 31st March, 2026

Ref.: Script Code: BSE-511012

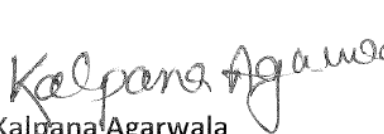
Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 please find enclosed Annual Secretarial Compliance Report issued by Mr. Akhil Agarwal, Practicing Company Secretary for the year ended March 31, 2026.

Kindly acknowledge the receipt and take the same on record.

Thanking you,

Yours Faithfully,

For YAMINI INVESTMENTS COMPANY LIMITED


Kalpana Agarwala
Company Secretary & Compliance Officer



Encl: As stated above

**SECRETARIAL COMPLIANCE REPORT OF YAMINI INVESTMENTS COMPANY LIMITED FOR THE
FINANCIAL YEAR ENDED 31ST MARCH 2026**

To
The Members
Yamini Investment Company Limited
(CIN No. L67120MH1983PLC029133)
B-614, Crystal Plaza, Opposite Infinity Mall,
New Link Road, Andheri West, Mumbai- 400053

I, Akhil Agarwal Practicing Company Secretary have examined:

- (a) all the documents and records made available to us and explanation provided by **M/S. YAMINI INVESTMENTS COMPANY LIMITED** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended **31st March, 2026** ("Review Period") in respect of compliance with the provisions of:

- (a) the **Securities and Exchange Board of India Act, 1992 ("SEBI Act")** and the **Regulations, circulars, guidelines issued thereunder**; and
- (b) the **Securities Contracts (Regulation) Act, 1956 ("SCRA")**, **rules made thereunder and the Regulations, circulars, guidelines issued thereunder** by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, including :-

- (a) **Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**;



- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **Not Applicable to the Company during the Review Period;**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not Applicable for the year under review;**
- (e) Securities and Exchange Board of India (Share Based Employees Benefits and Sweat Equity) Regulations, 2021; **Not Applicable to the Company during the Review Period;**
- (f) Securities and Exchange Board of India (Issue and Listing of Non- Convertible Securities) Regulations, 2021; **Not Applicable to the Company during the Review Period;**
- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and circulars/ guidelines issued thereunder;
- (h) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Act and dealing with client.
- (i) The Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- (j) Other applicable regulations and circulars/guidelines issued thereunder;

Based on the above examination, I hereby report that, during the Review Period the compliance status of the listed entity is appended as below:



(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars/guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Action Advisory/Clarification/Fine/Show Cause Notice/Warning, etc.	Details of Violation	Fine Amount	Observations/Remarks of the Practicing Company Secretary	Management Response	Remarks
1	Regulation	31	NA	SEBI	Fine	Non-submission of shareholding pattern within the period prescribed for the quarter ended June 2025	Rs. 73160 /	Company has taken necessary action to comply with the same.	Company has taken necessary action to comply with the same.	

(b) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Compliance Requirement (Regulations/ circulars/guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Action Advisory/Clarification/Fine/Show Cause Notice/Warning, etc.	Details of Violation	Fine Amount	Observations/Remarks of the Practicing Company Secretary	Management Response	Remarks
No such instances										



(c) I/we hereby report that, during the review period the compliance status of the listed entity with the following requirements:

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations/Remarks made by PCS
1.	<p><u>Secretarial Standards:</u></p> <p>The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI)</p>	Yes	—
2.	<p><u>Adoption and timely updation of the Policies:</u></p> <ul style="list-style-type: none"> • All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities • All the policies are in conformity with SEBI Regulations and has been reviewed & timely updated as per the regulations/circulars/guidelines issued by SEBI 	Yes	—
3.	<p><u>Maintenance and disclosures on Website:</u></p> <ul style="list-style-type: none"> • The Listed entity is maintaining a functional website • Timely dissemination of the documents/ information under a separate section on the website • Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re- directs to the relevant document(s)/ section of the website 	Yes	—
4.	<p><u>Disqualification of Director:</u></p> <p>None of the Director of the Company are disqualified under Section 164 of Companies Act, 2013</p>	Yes	—
5.	<p><u>To examine details related to Subsidiaries of listed entities:</u></p> <p>(a) Identification of material subsidiary companies (b) Requirements with respect to disclosure of material as well as other subsidiaries</p>	NA	Company do not have any Subsidiary



12.	<p><u>Resignation of statutory auditors from the listed entity or its material subsidiaries</u></p> <p>In case of resignation of statutory auditor from the listed entity or any of its material subsidiaries during the financial year, the listed entity and / or its material subsidiary(ies) has / have complied with paragraph 6.1 and 6.2 of section V-D of chapter V of the Master Circular on compliance with the provisions of the LODR Regulations by listed entities.</p>	NA	No such event occurred during the financial year 2025-26
13.	<p><u>Additional Non-compliances, if any:</u></p>	Yes	Non- Compliance has been made by the Company Reg-31 for Shareholding Pattern delay June, 2025.

Assumptions & Limitation of scope and Review:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
2. Our responsibility is to certify based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.
3. We have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.
4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

For AKHIL AGARWAL




AKHIL AGARWAL

ACS No.: 35073

C P No.: 16313

UDIN No. A035073H000395873

Place: Howrah

Date: 18.05.2026